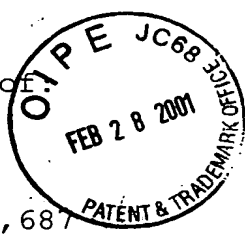


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	ATTY.'S DOCKET: WALLACH=25
WALLACH et al.	)	Art Unit: 1645
Appln. No.: 09/671,687	)	Examiner:
Filed: September 28, 2000	)	Washington, D.C.
For: INHIBITOR OF NF-kB	)	February 28, 2001
ACTIVATION	)	



IN RESPONSE TO SEQUENCE LISTING REQUIREMENTS IN NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION

Honorable Commissioner of Patents  
Washington, D.C. 20231

Sir :

In response to the Sequence Listing Requirements, dated  
December 20, 2000, applicants state as follows:

In accordance with 37 C.F.R. §1.821(e), the content of  
the attached computer readable copy of the sequence listing is  
believed to be the same as the paper copy sequence listing filed  
with the application on September 28, 2000.

Respectfully submitted,

BROWDY AND NEIMARK  
Attorneys for Applicant(s)

By:

Allen C. Yun  
Reg. No. 37,971

624 Ninth Street, N.W., Suite 300  
Washington, D.C. 20001-5303  
Telephone: (202) 628-5197  
Facsimile: (202) 737-3528

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
WALLACH, David et al.

Serial No.: 09/671,687

Filed: 09/28/2000

For: INHIBITOR OF NF-KAPPA ACTIVATION

Application Division

ATTN: Box Missing Parts

Washington, D.C.

February 28, 2001

## LATE SUBMISSION OF FILING FEE AND/OR DECLARATION

HON. COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

Sir:

The present communication is in response to the "NOTICE TO FILE MISSING PARTS OF APPLICATION..." dated December 20, 2000.

- [XX] Attached hereto is two executed oaths or declarations in compliance with 37 C.F.R. 1.63, identifying the present application by title, serial number, filing date and priority information.
- [ ] Attached hereto is an executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title, serial number, filing date and priority information, and to which is attached a duplicate copy of the application as filed.
- [ ] Applicant claims small entity status. See 37 CFR 1.27.
- [ ] A Communication.
- [ ] Preliminary Amendment.
- [XX] Preliminary Amendment and Statements in Support of Filing and Submissions in Accordance with 37 C.F.R. §1.821-1.825.
- [XX] Sequence Listing (hardcopy), and computer-readable form of Sequence Listing.
- [ ] A certified copy of priority document no. \_\_\_\_\_, filed \_\_\_\_\_, is also attached. Priority is claimed.
- [ ] An Information Disclosure Statement with 1449 and \_\_\_\_\_ references is also attached.
- [ ] Change of Address

	(Col.1)	(Col. 2)	Small Entity			OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$355.00	OR		\$ 710.00
TOTAL CLAIMS	37 - 20	17	X 9 =	\$	OR	X 18 =	\$ 306.00
INDEP. CLAIMS	05 - 3	02	X 40 =	\$	OR	X 80 =	\$ 160.00
[ ] Multiple claims presented			+ 135 =	\$	OR	+ 260 =	\$
If the difference in Col. 1 is less than 0, enter "0" in Col. 2			TOTAL	\$355.00		TOTAL	\$1,176.00

The following fee is calculated below:

- [ ] Surcharge for late filing of English translation \$ 130.00
- [XX] Late filing of Declaration surcharge in the amount of: Small Entity [ ] \$65.00 Other than Small Entity [XX] \$130.00

- [ ] It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a).

The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity

Response Filed Within

[ ] First - \$ 55.00

[ ] Second - \$ 195.00

[ ] Third - \$ 445.00

[ ] Fourth - \$ 695.00

Month After Time Period Set

Other Than Small Entity

Response Filed Within

[XX] First - \$ 110.00

[ ] Second - \$ 390.00

[ ] Third - \$ 890.00

[ ] Fourth - \$1,390.00

Month After Time Period Set

- [XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

- [XX] Credit Card Payment Form (PTO 2038) is authorizing payment in the amount of \$1,416.00, is attached.

- [XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

BROWDY AND NEIMARK, P.L.L.C.  
Attorneys for Applicant(s)

By:

Sheridan Neimark

Registration No. 20,520

03/01/2001 MARMOL 00000088 09671687

05 FC:115

110.00 OP

SN:tsw



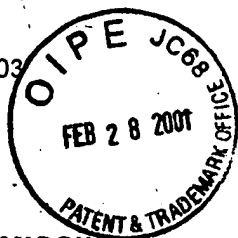
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/671,687	09/28/2000	David Wallach	WALLACH=25

001444

BROWDY AND NEIMARK, P.L.L.C.  
624-NINTH STREET, NW  
SUITE 300  
WASHINGTON, DC 20001-5303



## FORMALITIES LETTER



\*OC000000005630809\*

Date Mailed: 12/20/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

DOCKETED

mag = 20 Feb 2001

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

- Total additional claim fee(s) for this application is \$462.

- \$306 for 17 total claims over 20.
  - \$156 for 2 independent claims over 3.

03/01/2001 HARRIS 00000088 09671687

01 FC:101	710.00 OP
02 FC:103	306.00 OP
03 FC:102	160.00 OP
04 FC:105	130.00 OP

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required under 37 CFR 1.821(e).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- For Technical Assistance, call (703) 287-0200
- To Purchase PatentIn Software, call (703) 306-2600

